

EAST Search History

EAST Search History (Prior Art)

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|-------|---------|---|---|------------------|---------|---------------------|
| S1 | 1 | 10/597107 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/05 15:09 |
| S2 | 1 | "60536666" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/05 15:10 |
| S3 | 4 | ((CHARLES) near2 (COTTLE)).INV. | US-PGPUB; USPAT; USOCR | OR | ON | 2008/07/05 15:26 |
| S4 | 3875 | 705/37 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/05 15:27 |
| S5 | 1 | 60/536666 OR "60536666" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/11 11:17 |
| S6 | 6226 | (sub\$1componenet\$1 OR sub\$1parts \$1 OR sub\$1transaction\$1 OR sub \$1order\$1) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/11 11:29 |
| S7 | 42 | (sub\$1componenet\$1 OR sub\$1parts \$1 OR sub\$1transaction\$1 OR sub \$1order\$1) WITH (financial OR security\$3 OR investment\$1) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/11 11:30 |
| S8 | 5960595 | order OR transaction\$1 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:14 |
| S9 | 6234 | (sub\$1componenet\$1 OR sub\$1parts \$1 OR sub\$1transaction\$1 OR sub \$1order\$1) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:14 |

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|-----|--------|---|---|----|----|---------------------|
| S10 | 570179 | financial OR security\$3 OR investment\$1 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:15 |
| S11 | 1 | SlingshotHedge | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:15 |
| S12 | 0 | Slingshot ADJ Hedge | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:17 |
| S13 | 1 | ("Bear Collar Roll" OR "Bull Collar Roll" "ButterflyHedge Roll" OR "CalendarHedge Roll" OR "CalendarizedIronButterfly Roll" OR "Calendarized IronCondorHedge Roll" OR "SlingshotHedge") | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:20 |
| S14 | 905 | hedge WITH types | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:20 |
| S15 | 481 | S14 AND S8 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:21 |
| S16 | 121 | S14 AND S8 AND ((multiple OR plurality) WITH (component\$1 OR part\$1 OR transaction\$1 OR order \$1)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:23 |
| S17 | 18 | S14 AND S8 AND ((multiple OR plurality) WITH (component\$1 OR part\$1 OR transaction\$1 OR order \$1) WITH types) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:24 |
| S18 | 519040 | (complex SAME (component\$1 OR part\$1 OR transaction\$1 OR order\$1 OR trade\$s)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:40 |

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| S19 | 208769 | (complex SAME (transaction\$1 OR order\$1 OR trade\$s)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:41 |
| S20 | 22356 | (complex SAME (transaction\$1 OR order\$1 OR trade\$s)) AND S10 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:41 |
| S21 | 2037 | (complex SAME (S10 WITH (transaction\$1 OR order\$1 OR trade \$1))) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:42 |
| S22 | 710 | (complex WITH (S10 WITH (transaction\$1 OR order\$1 OR trade \$1))) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:42 |
| S23 | 0 | (complex WITH (S10 WITH (transaction\$1 OR order\$1 OR trade \$1))) WITH hedge | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:42 |
| S24 | 3 | (complex WITH (S10 WITH (transaction\$1 OR order\$1 OR trade \$1))) SAME hedge | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:43 |
| S25 | 49 | (complex WITH (S10 WITH (transaction\$1 OR order\$1 OR trade \$1))) AND hedge | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 15:43 |
| S26 | 40 | ("7162448" "6511326" "7286115" "5465206" "5787402" "6073118" "7296003" "6487491" "7257528" "6928610" "4833312" "5724424" "5857079" "6857568" "6993510" "6993511" "7149720" "5909638" "6018723" "5880446").pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 16:33 |
| S27 | 40 | ("7162448" "6511326" "7286115" "5465206" "5787402" "6073118" "7296003" "6487491" "7257528" "6928610" "4833312" "5724424" "5857079" "6857568" "6993510" "6993511" "7149720" "5909638" "6018723" "5880446").pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2008/07/15 16:34 |

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|-----|--------|---|---|----|----|---------------------|
| S28 | 629143 | financial OR security\$3 OR investment\$1 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:13 |
| S29 | 1 | S28 WITH (transaction\$1 OR order\$1 OR trade\$1) WITH hierach\$6 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:13 |
| S30 | 33 | (S28 WITH (transaction\$1 OR order\$1 OR trade\$1)) AND hierach\$6 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:14 |
| S31 | 1 | (S28 WITH (transaction\$1 OR order\$1 OR trade\$1)) AND (hierach\$6 WITH (transaction\$1 OR order\$1 OR trade\$1)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:14 |
| S32 | 30 | (2004/0034591 2004/0133526 "7,110,974" 2005/0273424 2003/0069826 2003/0177126 "7,330,834" 2003/0233306 2003/0009399) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:32 |
| S33 | 18 | ("20040034591" "20040133526" "7,110,974" "20050273424" "20030069826" "20030177126" "7,330,834" "20030233306" "20030009399").pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:33 |
| S34 | 71 | (transaction\$1 OR order\$1 OR trade\$1) WITH hierach\$6 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:39 |
| S35 | 859 | (transaction\$1 OR order\$1 OR trade\$1) AND hierach\$6 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:40 |
| S36 | 261 | (transaction\$1 OR order\$1 OR trade\$1) AND hierach\$6 AND (financial OR security\$3 OR investment\$1 OR stock\$1) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:41 |

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| S37 | 6 | (transaction\$1 OR order\$1 OR trade\$1) AND hierach\$6 AND (financial OR security\$3 OR investment\$1 OR stock\$1) AND (leg\$1) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:43 |
| S38 | 115484 | ((financial OR security\$3 OR investment\$1 OR stock\$1) WITH (transaction\$1 OR order\$1 OR trade\$1)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:45 |
| S39 | 1 | ((financial OR security\$3 OR investment\$1 OR stock\$1) WITH (transaction\$1 OR order\$1 OR trade\$1)) SAME hierach\$6 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:45 |
| S40 | 663 | ((financial OR security\$3 OR investment\$1 OR stock\$1) WITH (transaction\$1 OR order\$1 OR trade\$1)) SAME slic\$3 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:46 |
| S41 | 178 | ((financial OR security\$3 OR investment\$1 OR stock\$1) WITH (transaction\$1 OR order\$1 OR trade\$1) WITH slic\$3) SAME slic\$3 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:46 |
| S42 | 5 | ((financial OR security\$3 OR investment\$1 OR stock\$1) WITH (transaction\$1 OR order\$1 OR trade\$1) WITH slic\$3 WITH condition\$2) SAME slic\$3 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:47 |
| S43 | 5 | ((financial OR security\$3 OR investment\$1 OR stock\$1) WITH (transaction\$1 OR order\$1 OR trade\$1) WITH slic\$3 WITH condition\$2) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:47 |
| S44 | 3354088 | 705/35, 36R, 36T, "37", "38".ccls. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:49 |
| S45 | 308 | S44 AND S40 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:49 |

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|-----|------|--|---|----|----|---------------------|
| S46 | 85 | S44 AND S41 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:50 |
| S47 | 8 | ("6289321" "20010056391" "20040049443" "20040133500").pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:51 |
| S48 | 1327 | ((financial OR security\$3 OR investment\$1 OR stock\$1) WITH (transaction\$1 OR order\$1 OR trade \$1)) WITH algorithm\$2 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:55 |
| S49 | 132 | ((financial OR security\$3 OR investment\$1 OR stock\$1) WITH (transaction\$1 OR order\$1 OR trade \$1)) WITH algorithm\$2 AND slic\$3 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 20:56 |
| S50 | 1327 | ((financial OR security\$3 OR investment\$1 OR stock\$1) WITH (transaction\$1 OR order\$1 OR trade \$1)) WITH algorithm\$2 AND ((transaction\$1 OR order\$1 OR trade \$1) WITH (transaction\$1 OR order\$1 OR trade\$1)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 21:10 |
| S51 | 342 | ((financial OR security\$3 OR investment\$1 OR stock\$1) WITH (transaction\$1 OR order\$1 OR trade \$1)) WITH algorithm\$2 AND ((transaction\$1 OR order\$1 OR trade \$1) WITH depend\$3 WITH (transaction\$1 OR order\$1 OR trade \$1)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 21:10 |
| S52 | 63 | ((financial OR security\$3 OR investment\$1 OR stock\$1) WITH (transaction\$1 OR order\$1 OR trade \$1)) WITH algorithm\$2 AND ((transaction\$1 OR order\$1 OR trade \$1) WITH (depend\$3 ADJ (on OR upon)) WITH (transaction\$1 OR order \$1 OR trade\$1)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 21:11 |
| S53 | 36 | S52 AND S44 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 21:12 |
| S54 | 536 | (transaction\$1 OR order\$1 OR trade \$1) ADJ5 (depend\$3 ADJ (on OR upon)) ADJ5 (transaction\$1 OR order \$1 OR trade\$1) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 21:17 |

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| S55 | 563 | ((transaction\$1 OR order\$1 OR trade\$1) ADJ5 ((depend\$3 ADJ (on OR upon)) ADJ5 (transaction\$1 OR order\$1 OR trade\$1))) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 21:17 |
| S56 | 5 | ((((financial OR security\$3 OR investment\$1 OR stock\$1) WITH (transaction\$1 OR order\$1 OR trade\$1)) WITH algorithm\$2) AND ((transaction\$1 OR order\$1 OR trade\$1) ADJ5 ((depend\$3 ADJ (on OR upon)) ADJ5 (transaction\$1 OR order\$1 OR trade\$1)))) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 21:19 |
| S57 | 42 | ((((financial OR security\$3 OR investment\$1 OR stock\$1) WITH (transaction\$1 OR order\$1 OR trade\$1)) AND algorithm\$2) AND ((transaction\$1 OR order\$1 OR trade\$1) ADJ5 ((depend\$3 ADJ (on OR upon)) ADJ5 (transaction\$1 OR order\$1 OR trade\$1)))) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 21:20 |
| S58 | 4195 | Maynard.inv. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 21:38 |
| S59 | 317 | Maynard.inv. AND leg\$1 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 21:39 |
| S60 | 2 | Maynard.inv. AND leg\$1 AND (((financial OR security\$3 OR investment\$1 OR stock\$1) WITH (transaction\$1 OR order\$1 OR trade\$1))) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 21:39 |
| S61 | 15 | Maynard.inv. AND ((financial OR security\$3 OR investment\$1 OR stock\$1) WITH (transaction\$1 OR order\$1 OR trade\$1)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/14 21:40 |
| S62 | 2 | "09653102" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2009/04/21 12:34 |
| S65 | 0 | (exotic ADJ option\$1) AND (execut\$4 WITH all WITH leg\$1) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/09/12 18:08 |

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|-------|-----|-----|--------------------------------------|---|----|----|---------------------|
| | S66 | 106 | (exotic ADJ option\$1) AND execut\$4 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/09/12 18:08 |
| /GAP/ | S67 | 128 | (exotic ADJ option\$1) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | 2010/09/12 21:47 |

EAST Search History (Interference)

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9/ 12/ 2010 9:51:28 PM**C:\ Documents and Settings\ gpollock\ My Documents\ EAST\ Workspaces\ Completed\ 10597107.wsp**